

UNITED STATES DISTRICT COURT  
NORTHERN DISTRICT OF CALIFORNIA  
SAN JOSE DIVISION

UNITED STATES OF AMERICA, ) Case No. 18-CR-00258 EJD  
Plaintiff, )  
v. ) **VERDICT FORM**  
RAMESH “SUNNY” BALWANI, )  
Defendant. )  
\_\_\_\_\_ )

We, the members of the Jury in the above-entitled case, unanimously find the defendant,  
Ramesh “Sunny” Balwani:

1. \_\_\_\_\_ [GUILTY / NOT GUILTY] of the charge Conspiracy to Commit Wire Fraud against Theranos Investors in violation of 18 U.S.C. § 1349, as charged in Count One of the Indictment.
2. \_\_\_\_\_ [GUILTY / NOT GUILTY] of the charge Conspiracy to Commit Wire Fraud against Theranos Patients in violation of 18 U.S.C. § 1349, as charged in Count Two of the Indictment.
3. \_\_\_\_\_ [GUILTY / NOT GUILTY] of the charge of Wire Fraud, in violation of 18 U.S.C. § 1343, in connection with a wire transfer of \$99,990 on or about December 30, 2013 (relating to Alan Eisenman), as charged in Count Three of the Indictment.
4. \_\_\_\_\_ [GUILTY / NOT GUILTY] of the charge of Wire Fraud, in violation of 18 U.S.C. § 1343, in connection with a wire transfer of \$5,349,900 on or about December 31, 2013 (relating to Black Diamond Ventures), as charged in Count Four of the Indictment.

5. \_\_\_\_\_ [GUILTY / NOT GUILTY] of the charge of Wire Fraud, in violation of 18 U.S.C. § 1343, in connection with a wire transfer of \$4,875,000 on or about December 31, 2013 (relating to Hall Group), as charged in Count Five of the Indictment.
6. \_\_\_\_\_ [GUILTY / NOT GUILTY] of the charge of Wire Fraud, in violation of 18 U.S.C. § 1343, in connection with a wire transfer of \$38,336,632 on or about February 6, 2014 (relating to PFM), as charged in Count Six of the Indictment.
7. \_\_\_\_\_ [GUILTY / NOT GUILTY] of the charge of Wire Fraud, in violation of 18 U.S.C. § 1343, in connection with a wire transfer of \$99,999,984 on or about October 31, 2014 (relating to Dynasty Financial II, LLC / RDV), as charged in Count Seven of the Indictment.
8. \_\_\_\_\_ [GUILTY / NOT GUILTY] of the charge of Wire Fraud, in violation of 18 U.S.C. § 1343, in connection with a wire transfer of \$5,999,997 on or about October 31, 2014 (relating to Mosley Family Holdings LLC), as charged in Count Eight of the Indictment.
9. \_\_\_\_\_ [GUILTY / NOT GUILTY] of the charge of Wire Fraud, in violation of 18 U.S.C. § 1343, in connection with Patient B.B.'s laboratory blood test results on or about October 12, 2015, as charged in Count Nine of the Indictment.
10. \_\_\_\_\_ [GUILTY / NOT GUILTY] of the charge of Wire Fraud, in violation of 18 U.S.C. § 1343, in connection with Patient E.T.'s laboratory blood test results on or about May 11, 2015, as charged in Count Ten of the Indictment.
11. \_\_\_\_\_ [GUILTY / NOT GUILTY] of the charge of Wire Fraud, in violation of 18 U.S.C. § 1343, in connection with Patient M.E.'s laboratory blood test results on or about May 16, 2015, as charged in Count Eleven of the Indictment.
12. \_\_\_\_\_ [GUILTY / NOT GUILTY] of the charge of Wire Fraud, in violation of 18 U.S.C. § 1343, in connection with a wire transfer of \$1,126,661 on or about August 3, 2015, as charged in Count Twelve of the Indictment.

DATED:

\_\_\_\_\_  
JURY FOREPERSON